Coast Guard, DHS § 104.205

# § 104.140 Alternative Security Programs.

A vessel owner or operator may use an Alternative Security Program as approved under §101.120 of this subchapter if:

- (a) The Alternative Security Program is appropriate to that class of vessel:
- (b) The vessel does not engage on international voyages; and
- (c) The Alternative Security Program is implemented in its entirety.

#### § 104.145 Maritime Security (MARSEC) Directive.

Each vessel owner or operator subject to this part must comply with any instructions contained in a MARSEC Directive issued under §101.405 of this subchapter.

#### § 104.150 Right to appeal.

Any person directly affected by a decision or action taken under this part, by or on behalf of the Coast Guard, may appeal as described in §101.420 of this subchapter.

## Subpart B—Vessel Security Requirements

## § 104.200 Owner or operator.

- (a) Each vessel owner or operator must ensure that the vessel operates in compliance with the requirements of this part.
- (b) For each vessel, the vessel owner or operator must:
- (1) Define the security organizational structure for each vessel and provide all personnel exercising security duties or responsibilities within that structure with the support needed to fulfill security obligations;
- (2) Designate, in writing, by name or title, a Company Security Officer (CSO), a Vessel Security Officer (VSO) for each vessel, and identify how those officers can be contacted at any time:
- (3) Ensure personnel receive training, drills, and exercises enabling them to perform their assigned security duties;
- (4) Ensure vessel security records are kept:
- (5) Ensure that adequate coordination of security issues takes place between vessels and facilities; this in-

cludes the execution of a Declaration of Security (DoS);

- (6) Ensure coordination of shore leave for vessel personnel or crew changeout, as well as access through the facility of visitors to the vessel (including representatives of seafarers' welfare and labor organizations), with facility operators in advance of a vessel's arrival:
- (7) Ensure security communication is readily available;
- (8) Ensure coordination with and implementation of changes in Maritime Security (MARSEC) Level;
- (9) Ensure that security systems and equipment are installed and maintained;
- (10) Ensure that vessel access, including the embarkation of persons and their effects, are controlled;
- (11) Ensure that restricted areas are controlled;
- (12) Ensure that cargo and vessel stores and bunkers are handled in compliance with this part;
- (13) Ensure restricted areas, deck areas, and areas surrounding the vessel are monitored;
- (14) Provide the Master, or for vessels on domestic routes only, the CSO, with the following information:
- (i) Parties responsible for appointing vessel personnel, such as vessel management companies, manning agents, contractor, concessionaires (for example, retail sales outlets, casinos, etc.);
- (ii) Parties responsible for deciding the employment of the vessel, including time or bareboat charters or any other entity acting in such capacity; and
- (iii) In cases when the vessel is employed under the terms of a charter party, the contract details of those documents, including time or voyage charters; and
- (15) Give particular consideration to the convenience, comfort, and personal privacy of vessel personnel and their ability to maintain their effectiveness over long periods.

### §104.205 Master.

(a) Nothing in this part is intended to permit the Master to be constrained by the Company, the vessel owner or operator, or any other person, from taking or executing any decision which, in the

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professional judgment of the Master, is necessary to maintain the safety and security of the vessel. This includes denial of access to persons—except those identified as duly authorized by the cognizant government authority—or their effects, and refusal to load cargo, including containers or other closed cargo transport units.

(b) If, in the professional judgment of the Master, a conflict between any safety and security requirements applicable to the vessel arises during its operations, the Master may give precedence to measures intended to maintain the safety of the vessel, and take such temporary security measures as seem best under all circumstances. In such cases:

- (1) The Master must, as soon as practicable, inform the nearest COTP. If the vessel is on a foreign voyage, the Master must promptly inform the Coast Guard at 1-800-424-8802, direct telephone at 202-267-2675, fax at 202-267-2165, TDD at 202-267-4477, or E-mail at *1st-nrcinfo@comdt.uscg.mil* and if subject to the jurisdiction of a foreign government, the relevant maritime authority of that foreign government;
- (2) The temporary security measures must, to the highest possible degree, be commensurate with the prevailing Maritime Security (MARSEC) Level; and
- (3) The owner or operator must ensure that such conflicts are resolved to the satisfaction of the cognizant COTP, or for vessels on international voyages, the Commandant (G-MP), and that the possibility of recurrence is minimized.

# § 104.210 Company Security Officer (CSO).

- (a) *General.* (1) Each vessel owner or operator must designate in writing a CSO.
- (2) A vessel owner or operator may designate a single CSO for all its vessels to which this part applies, or may designate more than one CSO, in which case the owner or operator must clearly identify the vessels for which each CSO is responsible.
- (3) A CSO may perform other duties within the owner or operator's organization, provided he or she is able to perform the duties and responsibilities required of a CSO.

- (4) The CSO may delegate duties required by this part, but remains responsible for the performance of those duties.
- (b) *Qualifications.* (1) The CSO must have general knowledge, through training or equivalent job experience, in the following:
- (i) Security administration and organization of the company's vessel(s);
- (ii) Vessel, facility, and port operations relevant to that industry;
- (iii) Vessel and facility security measures, including the meaning and the consequential requirements of the different Maritime Security (MARSEC) Levels;
- (iv) Emergency preparedness and response and contingency planning;
- (v) Security equipment and systems and their operational limitations;
- (vi) Methods of conducting audits, inspection and control and monitoring techniques; and
- (vii) Techniques for security training and education, including security measures and procedures.
- (2) In addition to knowledge and training in paragraph (b)(1) of this section, the CSO must have general knowledge through training or equivalent job experience in the following, as appropriate:
- (i) Relevant international conventions, codes, and recommendations;
- (ii) Relevant government legislation and regulations;
- (iii) Responsibilities and functions of other security organizations;
- (iv) Methodology of Vessel Security Assessment:
- (v) Methods of vessel security surveys and inspections;
- (vi) Instruction techniques for security training and education, including security measures and procedures;
- (vii) Handling sensitive security information and security related communications;
- (viii) Knowledge of current security threats and patterns;
- (ix) Recognition and detection of dangerous substances and devices;
- (x) Recognition of characteristics and behavioral patterns of persons who are likely to threaten security;
- (xi) Techniques used to circumvent security measures;